

12/11/01
J1044 U.S. PTO

12-17-01

81839.0108
Express Mail Label No. EL 713 631 849 US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Anticipated Classification
of this Application:

☒ Class 216

☒ Subclass 88

Prior Application

Examiner: A. Chevalier

Art Unit: 1772

J1011 U.S. PTO
10/016008

12/11/01

DIVISIONAL APPLICATION TRANSMITTAL

U.S. Patent and Trademark Office
P. O. Box 2327
Arlington, VA 22202

Dear Sir:

This is a request for filing a ☐ continuation ☒ divisional application pursuant to 37 C.F.R. § 1.53(b), of pending prior application:

Serial No. 09/346,004 filed July 1, 1999, by Takehito USHIKI; Hitoshi TSUNODA (inventor(s) currently of record in prior application) for SEMICONDUCTOR WAFER, METHOD FOR PRODUCING THE SAME, AND WAFER CHUCK.

- ☒ Enclosed is a copy of the prior application, including the oath or declaration as originally filed, or a replacement specification which does not add new matter.
- ☒ The filing fee is calculated below:

2. ☒ The filing fee is calculated as follows:

CALCULATION OF FEES								
ITEM		TOTAL NO. OF CLAIMS		NO. OF CLAIMS OVER BASE	LG/SM \$ ENTITY FEE		\$ AMOUNT	\$ FEE
A	TOTAL CLAIMS FEE	4	-20	0	LG=\$18 SM=\$9	\$18	0	
B	INDEPENDENT CLAIMS FEE*	1	-3	0	LG=\$84 SM=\$42	\$84	0	
C	SUBTOTAL - ADDITIONAL CLAIMS FEE (ADD FINAL COLUMN IN LINES A + B)							\$ 0
D	MULTIPLE-DEPENDENT CLAIMS FEE					LARGE ENTITY FEE = \$280 SMALL ENTITY FEE = \$140		\$ 0
E	BASIC FEE					LARGE ENTITY FEE = \$740 SMALL ENTITY FEE = \$370		\$ 740
F	TOTAL FILING FEE (ADD TOTALS FOR LINES C, D, AND E)							\$ 740
*LIST INDEPENDENT CLAIMS 14								

- ☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment to Account No. 50-1314. A copy of this sheet is enclosed.
- ☒ A check in the amount of \$ 740 is enclosed.

5. ☒ Cancel in this application original claims 1-13 of the prior application before calculating the filing fee. (At least one original independent claim must be retained for filing purposes.)

- 7
6. ☒ Amend the specification by inserting before the first line the sentence:

B' This is a ☐ continuation ☒ divisional of application Serial No. 09/346,004 filed July 1, 1999, which application is hereby incorporated by reference in its entirety.

- 7a. ☒ New formal drawings are enclosed.

- 7b. ☒ Priority of application Serial No(s):

JAPAN application Serial No. 10-208633 filed July 8, 1998 is claimed under 35 U.S.C. § 119.

- 7c. ☒ The certified copy of the priority application was filed in prior application Serial No. 09/346,004, filed July 1, 1999.

8. ☒ The prior application is assigned of record to: Shin-Etsu Handotai Co., Ltd.

9. ☒ The power of attorney in the prior application is as listed on the copies of the declaration and Associate Power of Attorney filed in the parent application.

- a. ☒ The power appears in the original papers in the prior application.
b. ☐ Since the power does not appear in the original papers, a copy of the power in the prior application is enclosed.
c. ☒ Address all future communications to:

John P. Scherlacher
HOGAN & HARTSON L.L.P.
Biltmore Tower
500 South Grand Avenue, Suite 1900
Los Angeles, CA 90071
Telephone: (213) 337-6700
Facsimile: (213) 337-6701

☒ Customer Number 000026021 →



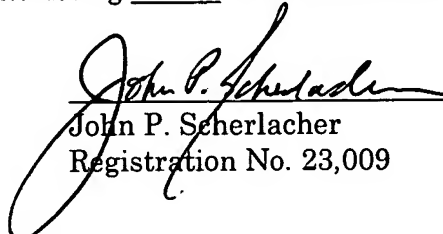
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PATENT TRADEMARK OFFICE

- d. ☒ A new Associate Power of Attorney is enclosed.
10. ☒ A preliminary amendment is enclosed. (Claims added by this amendment have been properly numbered consecutively beginning with the number next following the highest numbered original claim in the prior application.)
11. ☐ Applicant claims small entity status. See 37 CFR 1.27.
- ☐ Applicant no longer claims small entity status.

12. ☒ An Information Disclosure Statement listing 8 references is enclosed.

Date: December 11, 2001



John P. Scherlacher
Registration No. 23,009

HOGAN & HARTSON L.L.P.
500 South Grand Avenue, Suite 1900
Los Angeles, California 90071
Phone: 213-337-6700
Fax: 213-337-6701

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Takehito USHIKI; Hitoshi TSUNODA

Serial No: Not Assigned [parent 09/346,004]

Filed: December 11, 2001

For: SEMICONDUCTOR WAFER, METHOD FOR
PRODUCING THE SAME, AND WAFER
CHUCK

Art Unit: Not Assigned

Examiner: Not Assigned

CERTIFICATE OF MAILING VIA U.S. EXPRESS MAIL

"Express Mail" Mailing Label No. EL 713 631 849 US

Date of Deposit: December 11, 2001

U. S. Patent and Trademark Office
P. O. Box 2327
Arlington, VA 22202

Dear Sir:

I hereby certify that

- ☒ two copies of a Divisional Application Transmittal
- ☒ check in amount of \$ 740 as filing fee
- ☒ patent application (29 page(s) of specification; 4 claim(s); 1 page(s) of abstract
- ☒ 5 sheet(s) of formal/informal drawings
- ☒ copy of executed Declaration and Power of Attorney filed in parent
- ☒ Associate Power Of Attorney (37 C.F.R. § 134)
- ☒ certified copy of JAPAN patent application No. 10-208633 which was filed July 8, 1998 from which priority is claimed in the subject case pursuant to 35 U.S.C. § 119
- ☒ Preliminary Amendment
- ☒ Information Disclosure Statement listing 8 references
- ☒ return postcard

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service with sufficient postage under 37 C.F.R. § 1.10 on the date indicated above and are addressed to:

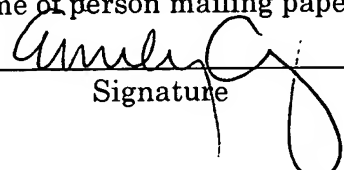
Box 2327
U.S. Patent and Trademark Office
Arlington, VA 22202.

Date: December 11, 2001

Hogan & Hartson, LLP
500 South Grand Avenue, Suite 1900
Los Angeles, California 90071
Telephone: 213-337-6700
Facsimile: 213-337-6701

EMILY CRUZ

Name of person mailing papers


Signature